

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
AZU.002

In Re Application Of: **Yukio NARUKAWA et al.**

Serial No.
NEW

Filing Date
OCT. 20, 2003

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

Title: **NITRIDE SEMICONDUCTOR DEVICE, AND ITS FABRICATION PROCESS**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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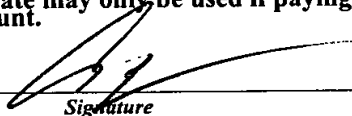
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Dated: OCT. 20, 2003



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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
AZU.002

Application Number
NEW

Applicant(s)
Yukio NARUKAWA et al.

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OCT. 20, 2003

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,229,151	05/08/2001	TAKEUCHI et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	B	9-331116	12/22/1997	JAPAN			✓ ABSTRACT	
	C	2001-28458	01/30/2001	JAPAN			✓ ABSTRACT	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

D P. Waltereit et al., "Nitride semiconductors free of electrostatic fields for efficient white light-emitting diodes", Nature, vol. 406, 24 August 2000, pages 865-868.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.